#### PATENTIOFFICIAL

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Fatima FERREIRA et al.

Title:

ALLERGEN FROM MUGWORT POLLEN

Appl. No.:

10/517,052

Filing Date:

December 3, 2004

Examiner:

Nora Maureen ROONEY

Art Voit:

1644

Atty Docket No:

LNK-036

Confirmation No.:

5635

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### DECLARATION UNDER 37 C.F.R. 1.132

Sir:

- 1, Professor Fatima FERREIRA, hereby declare and say that;
  - 1, I am a citizen of Austria.
  - I am a joint inventor of the subject matter presently claimed in the abovecaptioned application.
  - I have reviewed the Office Action issued March 11, 2008 in connection with the above-captioned application, including the rejection of pending claims 1, 3, 4, 15, 18, 20, and 38-40 as lacking enablement and the rejection of pending claims 1, 3, 4, 15, 18, 20, and 38 as lacking novelty.

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4. On the issue of enablement, I have considered the Examiner's suggestion that the in vitro data originally filed is not reasonably predictive of successful in vivo therapy. To further demonstrate the enablement and utility of the presently claimed ~40.9 kDa Art v 6 protein defined in SEQ ID NO: 1 (referred to hereinafter as simply "Art v 6") in the context of peptide-based immunotherapy, Applicants assayed the T cell response of Art v 6 using an established in vitro culture system (Jahn-Schmid et al., J. Immunol. 169: 6005-11, 2002; Jahn-Schmid et al., J. Atlergy Clin. Immunol., 115: 399-404, 2005), The experimental protocol and accompanying results are provided herewith as Appendix A.

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- 5. As the data in Appendix A provided herewith demonstrates, stimulation of PBMC obtained from ragweed altergic individuals with recombinant Art v 6 induced the proliferation of T cell lines at optimum concentrations (i.e., SI 4.3 to 11.2) as compared to that of non-altergic individuals (SI 1.5 to 4.2). As such, one of ordinary skill in the art would expect it to have therapeutic utility in the context of altergen-specific immunotherapy.
- 6. On the issue of novelty, the Examiner asserted that the United States Patent Office does not have a laboratory to test the described 44 kDa polypeptide of the cited publications and therefore placed the burden on Applicants' show that the polypeptides disclosed by Nilsen et al. (Mol. Immunol., 28(7):733-742, 1991). Brandys et al. (Planta, Med., 59: 221-228, 1993), Hirschwehr et al. (J. Altergy Clin. Immunol., 101(2): 196-206, 1998), de la Hoz et al. (Mol. Immunol., 27(7): 651-657, 1990), Katial et al. (Annals of Allergy, Asthma & Immun., 79: 340-346, 1997), and Paulsen et al. (Int. Archs, Allergy Appl. Immun., 78:206-212, 1985), as well as Gen Bank Accession Number AY904433, are not the claimed Art v 6 mugwort pollen allergen of SEQ ID NO:1.

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Applicants took the burden to show that one cannot reasonably assume that any of the reference polypeptides are the allergen recited in the claim. To that end, Applicants used a state-of-art proteomics approach to demonstrate that several potential allergenic polypeptides with approximately 44 kDa coexist in extracts from mugwort pollen. Detailed materials, methods, and results are provided herewith as Appendix B.

- 8. Briefly, mugwort pollen extracts were separated by SDS-PAGE, stained with Coomassie Blue, protein bands in the range 40-44 kDa were excised and in-gel digested in trypsin. Proteolytic fragments were separated by reversed phase capillary HPLC online coupled to a Quadrupole-Time of Flight mass spectrometer (Q-TOF MS). Peptide sequences were obtained by analysis of collision-induced fragments. Survey and fragment spectra were analyzed using the software PLGS version 2.2.5 (Waters) with automatic and manual data verification. For sequence identification, SwissProt and Trembl databases were used.
- 9. Applicants were able to identify 8 different polypeptides (provided in Table 1 of Appendix B) in the molecular weight range of 40-44 kDa, including the claimed altergen Art v 6. Beside Art v 6, four of the identified polypeptides (Phosphoglycernte kinase, Enolase, Fructose bisphosphate aldolase, and Malate dehydrogenase) have been previously described as allergens in different allergenic sources but not in mugwort pollen. It can be presumed with high certainty that these 4 enzymes are also allergens in mugwort due to their high evolutionary sequence conservation. Thus, since no sequence information was disclosed in any of the publications, the polypeptides of approximately 44 kDa extracted from mugwort (Artemisia vulgaris) pollen described by Nilsen et al., Brandys et al., Hirschwehr et al., De La hoz et al., and Karial et al. could be any of the four above mentioned enzymes. Thus, it does not necessarily flow that any of these reference polypeptides is the allergen recited in the claim.

10. I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent resulting therefrom.

Respectfully submitted,

25.07 2008 Date

Professor Fatima FERREIRA

#### **DECLARATION UNDER 37 C.F.R. 1.132**

#### **APPENDIX A:**

#### 1. Patients

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The T cell response to Art v 6 was characterized using an established *in vitro* culture system (Jahn-Schmid et al., J. Immunol. 169: 6005-11, 2002; Jahn-Schmid et al., J. Allergy Clin. Immunol., 115: 399-404, 2005). In total, 17 patients (9 from Vienna / 8 from Milano) with typical case history (late summer pollinosis), positive skin prick test and CAP/RAST tests for weed pollen were included.

## 2. PBMC and Art v 6-specific T cell lines (TCL) and (Art v 6-specific T cell clones (TCC):

Peripheral blood mononuclear cells (PBMC) were isolated from heparinized blood of allergic patients by Ficoll density gradient centrifugation. To generate allergen-specific T cell lines (TCL). 1.5 x 10<sup>6</sup> PBMC were stimulated with optimal doses of mugwort extract (4 µg/ml) or rArt v 6 (10 µg/ml) in 24-well flat-bottomed culture plates (Costar, USA). After 5 days human rIL-2 (10 U/ml, Boehringer, Mannheim, Germany) were added and cultures were continued for additional 7 days. Then, T cell blasts were isolated by density centrifugation. The majority of T cell blasts was further expanded with IL-2 and irradiated PBMC. A small number of T cell blasts were used to establish monoclonal T cell cultures by limiting dilution: 0.3 T cells/well were seeded into 96 well round bottom plates (Nunclone) in the presence of 2 x 10<sup>5</sup> irradiated (60 Gy) PBMC, 0.25 % v/v PHA (Gibco, USA) and rIL-2 (4 U/well) in the medium mentioned above. After 14-21 days, growing microcultures were expanded at weekly intervals with irradiated PBMC and rIL-2. The specificity of TCC was assessed in proliferation assays using irradiated allogeneic or HLA-matched PBMC or irradiated EBV-transformed allogeneic B-cells and 5 μg/ml Art v 6. After 48 hours, cellular uptake of tritiated (<sup>3</sup>H)-thymidine was performed to measure proliferation in counts per minute (cpm). When the stimulation index (SI; ratio between cpm obtained in cultures containing TCC plus autologous APC plus antigen, and cpm obtained in cultures containing TCC and APC alone) was >10, responses were considered as positive. Allergen-specific TCC were expanded by alternating turns of stimulation with autologous irradiated APC and allergen or with allogeneic feeder cells and rIL-2.

Art v 6-specific TCL and TCC were used to identify T cell epitopes of Art v 6. T cell cultures were stimulated with a panel of 122 synthetic 12-mer peptides and representing the amino acid sequence of Art v 6. Peptides were been synthesized (Pepset, Biotrend, Germany) according to the Art v 6 amino acid sequence and overlapped for 9 amino acid residues with the neighbouring peptides (Table 1). Peptides were used at a concentration of 5 µg/ml for stimulation and

proliferation of T cell cultures was determined after 48 hrs by  $^3H$ -thymidine-uptake. Because of the frequently observed high background caused by auto-reactivity in TCL, the mean of the cpm observed with the ten least stimulating peptides (none of the peptides was toxic) was used as negative control in calculations of SI. Herein, a peptide is defined as a T cell epitope when the stimulation index (SI) was at least SI  $\geq$  5.0.

### 2.1. Proliferation of PBMC

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PBMC from 3 ragweed allergic individuals and 3 non-allergic individuals were stimulated with different concentrations of Art v 6. T cell proliferation was induced in allergic individuals at an optimum concentration of 5  $\mu$ g/ml Art v 6 (SI 4.3-11.2). PBMC from non-allergic individuals reacted less strongly (SI 1.5- 4.2) and at a lower optimum concentration of 1,25  $\mu$ g/ml.

# 2.2. T cell epitopes of Art v 6

T cell epitope mapping of Art v 6 was performed evaluating 22 TCL from 17 different patients, which had been initiated with mugwort extract (containing the natural allergen) or rArt v 6. TCL induced with either mugwort pollen extract (MPE) or recombinant Art v 6 (rArt v 6) from the same individual recognized similar T cell epitopes (Table 1).

Typical for many inhalant allergens, multiple T cell activating regions were detected in Art v 6. 31 peptides were recognized by T cells from mugwort pollen-allergic patients (not shown). Many of these peptides were recognized by only one patient out of the 17 patients tested (e.g. peptides 19, 25, 46, 52, 56, 58, 62, 70, 74, 75, 79, 87, 101, 106, 107, 108, 113, 114, 117, 123). However, some peptides were recognized by 17-30% of the patients (e.g. peptides 60, 68, 69, 98, 99). Peptide 115 appears to be an immunodominant epitope, since it was recognized by approximately 59% of the patients tested.

Of the peptides tested, seven Art v 6-reactive TCC were obtained that reacted with the more frequently recognized peptides: 68, 69, 98, 99, 115, and 116 (Table 2).

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**Table 1:** Proliferation of T cells lines (TCL) initiated with mugwort pollen extract (MPE) or recombinant Art v 6 (rArt v 6).

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TCL	Medium (cpm)	rArt v 6 (cpm)	(dpm)	Art v 6 peptides recognized
IBEA rArt v 6	6019	43294	37275	115,60,68,79
ISTC rArt v 6	6207	20373	14166	
IMIC MPE	2482	5765	3283	
IBEL rArt v 6	6191	35875	29684	38,46,47,102,115
IBEL MPE	13797	65279	51482	115,68
IMAA rArt v 6				115
IGIF MPE	12652	45271	32619	99,115
ISTL MPE	9393	26565	17172	123,115,106,107,108, 70,38,58
IPOM MPE	6963	48265	41302	
IPOM rArt v 6	3705	24521	20816	115,117,62, 74,75,87, 56, 25,19
PAE MPE	4092	28906	24814	60,68,69
		10938	10050	
STO MPE	8882	5	3	54,60,68,69,101,115
STO rArt v 6	5470	60794	55324	60,68,69,98,99,101,102,115,
STR MPE	4624	49173	44549	98,99,115,116
STR rArt v 6	10303	49078	38775	98,99,115,116
		11464	10558	
FIS MPE	9055	1	6	68,115
		14247	13018	
FIS rArt v 6	12291	8	7	68,69,115,116,52,54
DÖK MPE	492	12618	12126	47,115
ZIK MPE	4468	13053	8585	60,68,69,113,114
WOL MPE	1615	7556	5941	
STA MPE	2675	41138	38463	98,99,115
SEL MPE	5400	28531	23131	115

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**Table 2:** Reactivity of T cell clones (TCC) established from mugwort pollen-allergic patients with Art v 6 peptides.

тсс	peptide No	reactivity with Art v 6 peptide (dpm)
<b>ZAB 334</b>	115+116	69265
<b>BAU A 40</b>	116	99874
GRO H		
135	68+69	3496
GRO H		
55	115+116	26758
GRO H		
266	68+69	###4230####
GRO H		
27	115	→ 38926
GRO A		
233	98+99	41130

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# **APPENDIX B:**.

<u>Table 1.</u>
Identified proteins by peptide sequencing after trypsin digestion of protein bands migrating in the molecular weight range of 40-44 kDa of mugwort pollen extracts separated by SDS-PAGE.

Protein	Calculated MW (kDa)	Allergenic activity	Reference
Monodehydroascorbate reductase	47.0	Not known	
Phosphoglycerate kinase	42.3	Identified as allergen in the fungus Epicoccum purpurascens	Kukreja et al. Immunobiology 213:65- 73, 2008
UTP glucose-1-phosphate uridylyltransferase	42.6	Not known	
Enolase	47.8	Identified as allergen in latex, and in several fungi (Cladosporium, Alternaria) and yeast	Posch et al., Electrophoresis 18:2803- 10, 1997; Breitenbach et al., Int. Arch. Allergy Immunol. 113:114-7, 1997.
Aspartate aminotransferase	44.5	Not Known	
Fructose bisphosphate aldolase	38.4	Identified as allergen in wheat flour	Baur & Posch, Allergy 53:562-6, 1998.
Art v 6	40.8	Claimed mugwort pollen allergen consisting of SEQ ID NO:1	US Patent Application No. 10/517,052 (PCT/EP03/05780)
Malate dehydrogenase	35.5	Identified as allergen in the yeast Malassezia furfur	Onishi et al., Eur. J. Biochem. 261:148-54, 1999.

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Materials and Methods

Protein extraction:

500 mg pollen from Artemisia vulgaris (Allergon, Uppsala, Sweden) was extracted in 10 ml 10

mM K<sub>2</sub>HPO<sub>4</sub> pH 7, 2% (w/v) PVPP, 2 mM EDTA, 10 mM DIECA, 3 mM NaN<sub>3</sub> at 4°C for 4 h

under gentle agitation. Pollen grains were removed by centrifugation at 5200g and 4°C for 15

minutes followed by filtration of the supernatant.

Protein precipitation and gel electrophoresis:

Protein was precipitated using the ProteoExtract Protein Precipitation Kit (Calbiochem, San

Diego, USA), the pellet was resuspended in 1x SDS-PAGE sample buffer by shaking at 37°C for

1 hour. The sample was heated to 95°C for 10 min and separated on 15% acrylamide gels. Twin

gels were either stained with Coomassie Brilliant Blue or subjected to immunoblotting using a

monoclonal antibody or serum from a mugwort pollen-allergic patient.

Immunoblots:

Monoclonal anti-Amb a 1 antibody displaying cross-reactivity to Art v 6 was diluted 1:50 in 25

mM Tris HCl pH 7.5, 0.15 M NaCl, 0.5% (v/v) Tween-20, 0.5% (w/v) bovine serum albumin,

0.05% sodium azide. Bound antibodies were detected using rabbit anti-mouse IgG+IgM labeled

with alkaline phosphatase.

Protein sequencing and identification by mass spectrometry (MS/MS):

Bands were excised from Coomassie-stained gel and in-gel digested (ProteoExtract All-In-One

Trypsin Digestion Kit; Calbiochem, San Diego, USA). Proteolytic digests were separated by

reversed phase capillary HPLC (Nanoease Symmetry 300<sup>TM</sup> trap column and 0.075 x 15 mm

Nanoease Atlantis dC18<sup>TM</sup> separating column on CapLC; Micromass-Waters, Milford, MA,

USA) online coupled to a Quadrupole-Time of Flight mass spectrometer (Q-TOF MS). Peptide

sequences were obtained by analysis of collision-induced fragments. Survey and fragment

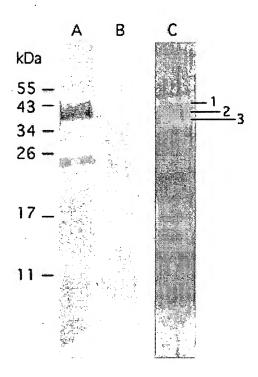
spectra were analyzed using the software PLGS version 2.2.5 (Waters) with automatic and

manual data verification. For sequence identification, SwissProt and Trembl databases were used.

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#### Results

Figure 1 shows an SDS-PAGE of mugwort pollen extract after Coomassie staining and a corresponding immunoblot with a monoclonal antibody that specifically recognizes the claimed allergen Art v 6. The area in the stained gel corresponding to the reactivity of the monoclonal antibody was excised, divided into 3 fragments (Gel fragment 1, 2, and 3), in gel digested in trypsin and resulting peptides sequenced by MS/MS. Identified proteins are listed in Tables 2-4. Complete sequences of identified proteins are given below Tables 2-4. Sequenced peptides are highlighted in each sequence.



**Figure 1.** Mugwort extract separated by SDS-PAGE (C) and corresponding immunoblot (A, B). (A) Art v 6 detected by cross-reactive monoclonal anti-Amb a 1 monoclonal antibody (B) control, 2<sup>ary</sup> detection antibody; (C) Coomassie-stained mugwort pollen extract; indicated regions (Gel fragments 1, 2, and 3) were cut and analyzed by MS/MS sequencing.

Table 2. Protein hits contained in Gel fragment 1.

Protein hit	Organism	UniProtK B/TrEMB L entry	MW [kDa]	Sequence coverage [%]	No. of identifie d	Peptide sequences (possible modifications are indicated; amino acid differences in comparison with database entries are colored in red; numbers indicate position in the protein sequence).
Monodehydr o-ascorbate reductase	Solanum lycopersicum (tomato)	Q43497	47.0	15.9		TSVPDVYAVGDVATFPMK (aa 287-304); M for L (aa 303) EAVAPYERPALSK (aa 40-52) QGVKPGELAIISK (aa 27-39) SYLFPEGAAR (aa 53-62) AYLFPEGAAR (aa 53-62) AIFASEQGK (aa 328-336) TDAFFK (aa 281-286)
Phospho- glycerate kinase	Helianthus annuus (common sunflower)	A1Y2J9	42.3	14.7	9	GVTTIIGGGDSVAAVEK (aa 350-366) ELDYLVGAVSNPK (aa 179-191) ELDYLVGAVSNPK (aa 179-191); Hydroxyl D (aa 181) SFSESLDTTK (aa 307-316) S for A (aa 311) KPFAAIVGGSK (aa 192-202) YLMSNGAR (aa 51-58) N for H (aa 55)
UTP glucose 1 phosphate uridylytrans- ferase	Oryza sativa (rice)	A2X051	42.6	8	3	LNGGLGTTMGCTGPK (AA 83-97) LVKADALK (aa 227-234); K for E (aa 229) MEIIPNPK (aa 235-242)
Enolase	Solanum lycopersicum (tomato)	P26300	47.8	4.3	2	ISGDQLKDLYK (aa 278-288); Methyl D (aa 281) TCNALLLK (aa 345-352)

**Deduced Sequences:** 

Q43497 Monodehydroascorbate reductase (Solanum lycopersicum)

- 1 MAEKSFKYVI VGGGVSAGYA AREFAK**QGVK PGELAIISKE AVAPYERPAL**
- 51 SKAYLFPEGA ARLPGFHVCV GSGGERQLPE WYAEKGISLI LSTEIVKADL
- 101 ASKTLVSAAG ESFKYQTLVI ATGTTVLKLS DFGVQGADSK NIFYLREIDD
- 151 ADQLVEALKA KKNGKAVVVG GGYIGLELSA VLRLNNIEVN MVYPEPWCMP
- 201 RLFTEGIAAF YEGYYKNKGV NIIKGTVAVG FDTHPNGEVK EVKLKDGRVL
- 251 EADIVVVGVG ARPLTTLFKG QVEEEKGGIK TDAFFKTSVP DVYAVGDVAT
- 301 FPLKMYNEIR RVEHVDHSRK SAEQAVKAIF ASEQGKSVDE YDYLPYFYSR
- 351 AFDLSWQFYG DNVGETVLFG DADPNSATHK FGQYWIKDGK IVGAFLESGS
- 401 PEENKAIAKV AKVQPPATLD QLAQEGISFA SKI

#### A1Y2J9 Phosphoglycerate kinase (Helianthus annuus)

- 1 MATKKSVSSL TEGDLKGKRV FVRVDLNVPL DDTFKITDDT RIRAAVPTIK
- 51 YLMSHGARVI LSSHLGRPKG VTPKFSLKPL VPRLSELLGI EVKMADDCIG
- 101 PDVEKLVAEI PEGGVLLLEN VRFYKEEEKN DPEFAKKLAS LADLYVNDAF
- 151 GTAHRAHAST EGVAKHLKPA VAGFLVQK**EL DYLVGAVSNP KKPFAAIVGG**
- 201 SKVSSKIGVI ESLLEKVDIL VLGGGMIFTF YKAQGLAVGS SLVEEDKLDL
- 251 ATTLLEKAKS KGVSLLLPSD VVIADKFAAD ANSKIVPASS IPDGWMGLDI
- 301 GPDSIK**SFSE ALDTTK**TVIW NGPMGVFEFD KFAVGTEAIA KKLAELSGK**G**
- 351 **VTTHGGGDS VAAVEK**VGLA DKMSHISTGG GASLELLEGK PLPGVPALDE 401 A

#### A2X051 UTP glucose 1 phosphate uridylytransferase (Oryza sativa)

- 1 MADEKLAKLR EAVAGLSQIS DNEKSGFISL VARYLSGEEE HVEWAKIHTP
- 51 TDEVVVPYDT LEAPPEDLEE TKKLLNKLAV LK**LNGGLGTT MGCTGPK**SVI
- 101 EVRNGFTFLD LIVIQIESQY PRVVADEFLP WPSKGKTCKD GWYPPGHGDI
- 151 FPSLMNSGKL DLLLSQVTPK TLADVKGGTL ISYEDKVQLL EIAQVPDAHV
- 201 NEFKSIEKFK IFNTNNLWVN LKAIKRLVEA DALKMEIIPN PKEVDGVKVL
- 251 QLETAAGAAI RFFDHAIGIN VPRSRFLPVK ATSDLQLVQS DLYTLVDGFV
- 301 TRNPARTNPS NPSIELGPEF KKVGCFLGRF KSIPSIVELD TLKVSGDVWF
- 351 GSSITLKGKV TITAQPGVKL EIPDGAVIEN KDINGPEDL

#### P26300 Enolase (Solanum lycopersicum)

1 MATIKSIKAR QIFDSRGNPT VEVDVHISNG VFARAAVPSG ASTGIYEALE

51 LRDGGSDYLG KGVSKAVNNV NSIIGPALVG KDPTDQTGLD NFMVHQLDGT

101 QNEWGWCKEK LGANAILAVS LAVCKAGAAV RNVPLYKHIA DLAGNKKLVL

151 PVPAFNVING GSHAGNKLAM QEFMILPVGA ANFKEAMKMG CEVYHHLKAV

201 IKKKYGQDAT NVGDEGGFAP NIQENKEGLE LLKTAIEKAG YTGKVVIGMD

251 VAASEFYGKD KSYDLNFKEE SNDGSQK**ISG DQLKDLYK**SF VSEYPIVSIE

301 DPFDQDDWET YAKLTAEIGE QVQIVGDDLL VTNPKRVAKA IAEK**TCNALL** 

351 LKVNQIGSVT ESIEAVKMSK KAGWGVMTSH RSGETEDTFI ADLAVGLSTG

401 QIKTGAPCRS ERLAKYNQLL RIEEELGSEA VYAGASFRKP VEPY

Table 3. Protein hits contained in Gel fragment 2

		11.:n17		Comonica	No. of	Peptide sequences (possible modifications are indicated;
Protein hit	Organism	B/TrEMB	MW [kDa]	Sequence coverage Fo.7	identifie d	amino acid differences in comparison with database entries are colored in red; numbers indicate position in the
		L entry		[ /o]	peptides	protein sequence).
						GVTTIIGGGDSVAAVEK (aa 350-366)
						ELDYLVGAVSNPK (aa 379-391); Hydroxyl D (aa 381)
	Holianthus					ELDYLVGAVSNPK (aa 379-391)
Phospho-	Trendings Graning					MADDCIGPDVEK (aa 94-105)
glycerate	dimuus (gemmen	A1Y2J9	42.3	18.7	6	MADDCIGPDVEK (aa 94-105); Propionamide C (aa 98)
kinase	(confinen					VDLNVPLDDSFK (aa 24-35); S for T (aa 33)
	Samonasi					SFSEALDTTK (aa 307-316)
						SFSEALDTTK (aa 307-316); Phosphoryl S (aa 309)
						KPFAAIVGGSK (aa 192-202)
						TSVPDVYAVGDVATFPMK (aa 287-304); M'for L (aa
Mono-	Arabidops					303)
dehydro-	is thaliana		0 ) 1			EAVAPYERPALSK (aa 40-52)
ascorbate	(mouse ear	(V)LFA3	40.3	13.4	n	GQVEEDKGGIK (aa 270-280)
reductase	cress)		-			GYLFPEGAAR (aa 53-62)
						NILYLR (aa 141-146)
Acrostoto	, ii ii					LIFGADSPAIQENR (aa 87-100)
Aspailaic	01,724	1027073	277	,	_	VNLGVGAYR (aa 34-42)
amino-	sanva	F3/833	£	7.3	<b>†</b>	YYDPATR (aa 55-61)
transierase	(rice)			9		VGGEFLAR (aa 115-121)
Frictose	anablos					IGANEPSQLAINDNANGLAR (aa 149-168); Methyl D
hienhoenhata	tuharasum	O38HV/	28.4	12.8	,,	(aa 161)
olspinospiiate	(Totata)	+ 4 1100	100.	17.0	<u> </u>	VAPEVVAEYTVR (aa 238-249); V for I (aa 243)
aldolase	(potato)					GILAADESTGTIGK (aa 25-38)
Pollen	Artemisia					
allergen	vulgaris	A0PJ16	43.2	2.7	-	ALADCAQGFAK (aa 66-75); D for K (aa 69)
Art v 6	(mugwort)		001			

# **Deduced Sequences:**

A1Y2J9 Phosphoglycerate kinase (Helianthus annuus)

- 1 MATKKSVSSL TEGDLKGKRV FVR**VDLNVPL DDTFK**ITDDT RIRAAVPTIK
- 51 YLMSHGARVI LSSHLGRPKG VTPKFSLKPL VPRLSELLGI EVK**MADDCIG**
- 101 PDVEKLVAEI PEGGVLLLEN VRFYKEEEKN DPEFAKKLAS LADLYVNDAF
- 151 GTAHRAHAST EGVAKHLKPA VAGFLVQKEL DYLVGAVSNP KKPFAAIVGG
- 201 SKVSSKIGVI ESLLEKVDIL VLGGGMIFTF YKAQGLAVGS SLVEEDKLDL
- 251 ATTLLEKAKS KGVSLLLPSD VVIADKFAAD ANSKIVPASS IPDGWMGLDI
- 301 GPDSIK**SFSE ALDTTK**TVIW NGPMGVFEFD KFAVGTEAIA KKLAELSGK**G**
- 351 VTTIIGGGDS VAAVEKVGLA DKMSHISTGG GASLELLEGK PLPGVPALDE 401 A

Q9LFA3 (Arabidopsis thaliana)

- 1 MAEKSFKYII LGGGVSAGYA AKEFANQGVQ PGELAVISKE AVAPYERPAL
- 51 SKGYLFPEGA ARLPGFHCCV GSGGEKLLPE SYKQKGIELI LSTEIVKADL
- 101 SAKSLVSATG DVFKYQTLII ATGSTVLRLT DFGVKGADSK NILYLREIDD
- 151 ADKLVEAIKA KKGGKAVVVG GGYIGLELSA VLRINNLDVT MVFPEPWCMP
- 201 RLFTADIAAF YETYYTNKGV KIIKGTVASG FTAQPNGEVK EVQLKDGRTL
- 251 EADIVIVGVG AKPLTSLFKG QVEEDKGGIK TDAFFKTSVP DVYAVGDVAT
- 301 FPLKMYGDVR RVEHVDHSRK SAEQAVKAIK AAEGGAAVEE YDYLPFFYSR
- 351 SFDLSWQFYG DNVGDSVLFG DSNPSNPKPR FGAYWVQGGK VVGAFMEGGS
- 401 GDENKALAKV AKARPSAESL DELVKQGISF AAKI

P37833 Aspartate aminotransferase (*Oryza sativa*)

- 1 MASSSVFAGL AQAPEDPILG VTVAYNKDPS PVK**VNLGVGA YR**TEEGKPLV
- 51 LNVVRRAEOM LINNPSRVKE YLPITGLADF NKLSAK**LIFG ADSPAIQENR**
- 101 VATVOCLSGT GSLR**VGGEFL AR**HYHERTIY IPQPTWGNHP KVFTLAGLTV
- 151 RSYRYYDPAT RGLDFQGLLE DLGSAPSGAI VLLHACAHNP TGVDPTLDQW
- 201 EQIRQLMRSK ALLPFFDSAY QGFASGSLDQ DAQSVRMFVA DGGELLMAQS
- 251 YAKNMGLYGE RVGALSIVCG SADVAVRVES QLKLVIRPMY SNPPIHGASI
- 301 VATILKDSAM FNEWTVELKG MADRIISMRQ QLFDALKTRE TPGDWSHIIK
- 351 QIGMFTFTGL NSDQVAFMRQ EYHIYMTSDG RISMAGLSGR TIPHLADAIH
- **401** AAVTKLK

Q38HV4 Fructose bisphosphate aldolase (Solanum tuberosum)

1 MSCYKGKYAD ELIKNAAYIA TPGK**GILAAD ESTGTIGK**RL SSINVENVES

51 NRRALRELLF CAPGALQYLS GIILFEETLY QKTAAGKPFV DVMKEGGVLP
101 GIKVDKGTVE LPGTNGETTT QGLDGLAERC QKYYAAGARF AKWRAVLKIG
151 ANEPSQLAIN DNANGLARYA IICQQNGLVP IVEPEILVDG SHDIKKCADV
201 TERVLAACYK ALNDHHVLLE GTLLKPNMVT PGSDAPKVAP EVIAEYTVRA
251 LQRTMPAAVP AVVFLSGGQS EEEATRXLNA MNKLQTKKPW TLSFSFGRAL
301 QQSTLKAWSG KEENIGKAQA ALLTRCKANS EATLGKYAGS SNLGDGASES
351 LHVKDYKY

#### A0PJ16 Art v 6; Amb a 1-like protein (Artemisia vulgaris)

- 1 MEKHYFVILF TAAFVFVGAA ARADIGDELE AAQFNSTRRG LHECAAHNII
  51 DKCWRCKADW EKNRQ**ALAKC AQGFAK**GTTG GLGGEIYVVT DCSDDNAANP
  101 KPGTLRCGVT QDKPLWIIFK KDMVIKLKHE LVINKDKTID GRGANVEITC
  151 GGLTIHNVCN VIIHNIHIHD IKVTEGGIIK ATDAKPGHRH KSDGDGICVA
  201 GSSKIWIDHC TLSHGPDGLI DVTLGSTAVT ISNCKFSHHQ KILLLGADNS
  251 HVDDKKMHVT VAFNRFAEAC DQRMPRCRFG FFQVVNNDYT SWGTYAIGGS
  301 ANPTILSQGN RFHAPNDPMK KNVLVRADAP HTESMKWNWR SEKDLLENGA
- 351 IFVASGCDPH LTPEQKSHLI PAEPGSAVLQ LTSCAGTLKC VPGKPC

Table 4. Protein hits contained in Gel fragment 3

		)				
Drotoin	Organica	UniProtK	MW	Sequence	No. of identifie	Peptide sequences (possible modifications are indicated; amino acid differences in comparison with database entries
	Organism	L entry	[kDa]	(%] .	d peptides	are colored in red; numbers indicate position in the protein sequence).
		•				IGPTEPSPLAILENANGLAR (aa 149-168); Phosphoryl T
·	Mesembryanthe-					(aa 152)
Fractose	mim					YADELIANAAYIGTPGK (aa 8-24)
hisphosphate	crystallinum	004975	.38.1	23.0	7	VAPEVVAEYTVR (aa 238-249); V for I (aa 243)
aldolase	(common ice	.67775	1.00	0.07	•	GILAADESTGTIGK (aa 25-38)
aidolaso						EGNVLPGIKVDK (aa 95-106); Methyl E (aa 95)
	Piant					EGNVLPGIK (aa 95-103); Methyl E (aa 95)
						VLAACYK (aa 204-210)
						LDLTAEELTEEK (aa 313-324); T for S (aa 321)
Malate						MELVDAAFPLLK (aa 56-67); Oxidation M (aa 56)
dehydro-	(white lunia)	Q8GZN2	35.5	12.3	5	EFAPSIPEK (aa 143-151)
genase	(wille lupilly					QFAPSIPEK (aa 143-151); Q for E (aa 143)
. '						ALGQISEK (aa 164-171)
						TSVPDVYAVGDVATFPMK (aa 287-304); M for L (aa
Mono-	Colonim					303)
dehydro-	hoonarsicum	043408	77.0	2 71	V	EAVAPYERPALSK (aa 40-52)
ascorbate	(tomato)	(47 <del>1</del> 470	). t	C:+1	า	QGVKPGELAIISK (aa 27-49)
reductase	(toillato)					AYLFPEGAAR (aa 53-62)
						AIFASEQGK (aa 328-336)
Glycer-	Dotrosolimm					VVSWYDNEWGYSNR (aa 311-324)
aldehyde-3-	orignum	D76510	141	13.1	_	AASFNIIPSSTGAAK (aa 202-216)
phosphatede-	(narelev)	(10071	t.00	1.0.1	t	TVDGPSMK (aa 188-195)
hydrogenase	(paisicy)					LTGMAFR (aa 229-235)

#### **Deduced Sequences:**

Serial No.: 11/337,316

O04975 Fructose bisphosphate aldolase (Mesembryanthemum crystallinum)

- 1 MTAYRGK**YAD ELIANAAYIG TPGKGILAAD ESTGTIGK**RF AGINVENVES
- 51 NRRALRELLF TTPGAVOYLS GVILFEETLY OKTAAGKPFV EVLKEGNVLP
- 101 GIKVDKGVVE LAGTNGETTT QGLDGLGARC AQYYAAGARF AKWRAVLKIG
- 151 PTEPSPLAIL ENANGLARYA IICQENGLVP IVEPEILVDG PHDIDRCAEV
- 201 TERVLAACYK ALNDHHVLLE GTLLKPNMVT PGSESKKVAP EVIAEYTVRA
- 251 LQRTVPPAVP AVMFLSGGQS EEEATVNLNA MNKLQGKKPW TLSFSYGRAL
- 301 QSSTLKAWSG KEENVEKAQA VFLARAKGNS EATLGKYQGG AGGADASESL
- 351 HVKDYKY

#### Q8GZN2 Malate dehydrogenase (Lupinus albus)

- 1 MARDPVRVLV TGAAGQIGYA LVPMIARGVM LGADQPVILH LLDIPPAAES
- 51 LNGVK**MELVD AAFPLLK**GVV ATTDAVEACT GVNIAVLVGG FPRKEGMERK
- 101 DVMSKNVSIY KSQASALEKY AAANCKVLVV ANPANTNALI LK**EFAPSIPE**
- 151 KNISCLTRLD HNRALGQISE KLNTQVSNVK NVIIWGNHSS TQYPDVNHAT
- 201 VTTPAGEKPV RELVCDDAWL NSEFISTVOO RGAAIIKARK LSSALSAASA
- 251 ACDHIRDWVL GTPEGTWVSM GVYSDGSYNV PAGLIYSFPV TTQNGEWKIV
- 301 QGLAIDEFSR KK**LDLTAEEL SEEK**ALAYSC LS

#### Q43497 Monodehydroascorbate reductase (Solanum lycopersicum)

- 1 MAEKSFKYVI VGGGVSAGYA AREFAK**QGVK PGELAIISKE AVAPYERPAL**
- 51 SKAYLFPEGA ARLPGFHVCV GSGGEROLPE WYAEKGISLI LSTEIVKADL
- 101 ASKTLVSAAG ESFKYQTLVI ATGTTVLKLS DFGVQGADSK NIFYLREIDD
- 151 ADQLVEALKA KKNGKAVVVG GGYIGLELSA VLRLNNIEVN MVYPEPWCMP
- 201 RLFTEGIAAF YEGYYKNKGV NIIKGTVAVG FDTHPNGEVK EVKLKDGRVL
- 251 EADIVVVGVG ARPLTTLFKG QVEEEKGGIK TDAFFK**TSVP DVYAVGDVAT**
- 301 FPLKMYNEIR RVEHVDHSRK SAEQAVKAIF ASEQGKSVDE YDYLPYFYSR
- 351 AFDLSWQFYG DNVGETVLFG DADPNSATHK FGQYWIKDGK IVGAFLESGS
- 401 PEENKAIAKV AKVQPPATLD QLAQEGISFA SKI

P26519 Glyceraldehyde-3-phosphate dehydrogenase (Petroselinum crispum)

Serial No.: 11/337,316

- 1 MKMKIGINGF GRIGRLVARV ALMSDDIELV AVNDPFITTE YMTYMFKYDS
- 51 VHGQWKKDEL KVKDSKTLLF GDKPLTVFGV RNPEEDPWGE AGAEYVVEST
- 101 GVFTDKDKAA AHLKGGAKKV VISAPSGNAP MFVVGVNEKE YKKDIDIVSN
- 151 ASCTTNCLAP LAKVLNDKFG IVEGLMTTVH SITATRKTVD GPSMKDWRGG
- 201 RAASFNIIPS STGAAKAVGK VLPALNGKLT GMAFRVPTVD VSVVDLTARL
- 251 EKAATYDEIK AAIKHESETS LKGILGYTED DVVSTDFVGD SRSSIFDAKA
- 301 GIALNGNFVK **VVSWYDNEWG YSNR**VIDLIR HMASVA

# Immunological mechanisms of allergen-specific immunotherapy

Mark Larché\*\*, Cezmi A. Akdis§ and Rudolf Valenta||

Abstract | Allergen-specific immunotherapy has been carried out for almost a century and remains one of the few antigen-specific treatments for inflammatory diseases. The mechanisms by which allergen-specific immunotherapy exerts its effects include the modulation of both T-cell and B-cell responses to allergen. There is a strong rationale for improving the efficacy of allergen-specific immunotherapy by reducing the incidence and severity of adverse reactions mediated by IgE. Approaches to address this problem include the use of modified allergens, novel adjuvants and alternative routes of administration. This article reviews the development of allergen-specific immunotherapy, our current understanding of its mechanisms of action and its future prospects.

One hundred years ago, von Pirquet introduced the term allergy to distinguish immune responses that are harmful to the host from a physiological state of protective immunity. The most common form of allergy — IgE-mediated hypersensitivity — affects more than 25% of the population in industrialized countries<sup>2</sup>. The allergic response is directed against various environmental proteins (known as allergens) and manifests clinically as allergic rhinitis (more commonly known as hay fever), allergic asthma, food allergy, allergic skin inflammation, ocular allergy and/or anaphylaxis. Allergic inflammation and reactions to allergen challenge can be local (that is, within the target organ), as is the case for allergic rhinitis and allergic asthma, or systemic, as is the case for anaphylaxis. The aetiology of allergic immune responses is complex and has been shown to be influenced by several factors, including genetic susceptibility, route of exposure, dose of the allergen and, in some cases, structural characteristics of the allergen3.

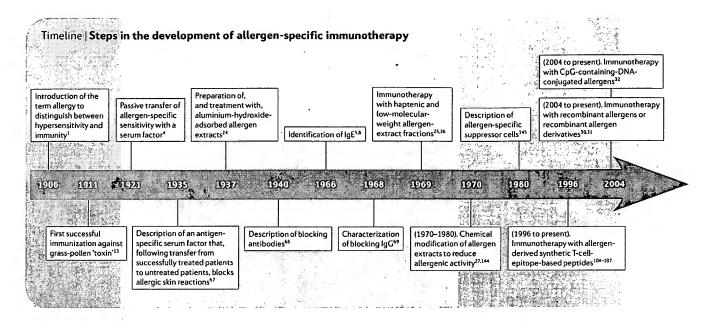
In 1921, Prausnitz and Küstner<sup>4</sup> showed that allergenspecific sensitivity could be transferred to a non-allergic person by injection of serum. It was not until 1966–1967 that the serum factor was identified as IgE<sup>5,6</sup> (TIMELINE). During sensitization to allergen, priming of allergenspecific (CD4<sup>+</sup>) T helper 2 (T<sub>H</sub>2) cells results in the production of T<sub>H</sub>2 cytokines (such as interleukin-4 (IL-4) and IL-13), which are responsible for class switching to the ε immunoglobulin heavy chain, allowing IgE production by B cells (FIG. 1a). IgE sensitizes mast cells and basophils by binding the high-affinity receptor for IgE (FcεRI), which is expressed at the surface of these cells.

On crosslinking of the IgE-FcERI complexes by allergen, mast cells and basophils degranulate, releasing vasoactive amines (mainly histamine), lipid mediators (prostaglandins and cysteinyl leukotrienes), chemokines and other cytokines, all of which characterize the immediate phase of the allergic reaction (FIG. 1b). IgE also binds FcERI at the surface of dendritic cells (DCs) and monocytes, as well as the low-affinity receptor for IgE, FcERII (also known as CD23), at the surface of B cells. This process increases the uptake of allergen by these antigenpresenting cells (APCs) and the subsequent presentation of allergen-derived peptides to specific CD4+ T cells, which drive the late phase of the allergic reaction<sup>7</sup>.

In vivo data supporting a role for IgE in increasing the responses of T cells to allergen come from observations that treatment with IgE-specific antibody considerably reduces allergen-induced late-phase responses in both the airways³ and the skin³. The production of IL-4, IL-5, IL-9 and IL-13 by allergen-specific  $T_{\rm H}2$  cells also contributes to the development, survival and recruitment of eosinophils, as well as the differentiation of mast cells and the hypersecretion of mucus¹o,11. Production of interferon- $\gamma$ (IFN $\gamma$ ) and tumour-necrosis factor, together with expression of CD95 ligand (also known as FAS ligand), by  $T_{\rm H}1$  cells leads to the apoptosis of epithelial cells and compromises barrier function of epithelial cells in the lungs and the skin¹² (FIC. 1c).

Allergen-specific immunotherapy (SIT) involves repeated administration of the sensitizing allergen (usually by subcutaneous injection or, more recently, by sublingual application). SIT was first reported at the

\*Division of Clinical Immunology & Allergy, Department of Medicine, McMaster University, 1200 Main Street West. Hamilton, Ontario L8N 3Z5, \*MRC & Asthma UK Centre in Allergic Mechanisms of Asthma, Department of Allergy & Clinical Immunology, National Heart and Lung Institute, Faculty of Medicine, Imperial College, London SW7 2AZ, UK. Swiss Institute of Allerau and Asthma Research (SIAF), Obere Strasse 22, CH-7270 Davos Platz, Switzerland. IlDivision of Immunopathology, Department of Pathophysiology, Center for Physiology and Pathophysiology, Medical University of Vienna, Währinger Gürtel 18-20. A-1090 Vienna, Austria, Correspondence to M.L. m.larche@imperial.ac.uk doi:10.1038/nri1934



Hypersensitivity
An exaggerated and
inappropriate immune
response to an antigen or
allergen. In the context of
allergic diseases, this includes
production of allergen-specific
lgE to environmental or self
antigens.

#### Class switching

The somatic-recombination process by which the class of immunoglobulin is switched from IgM to IgG, IgA or IgE.

Immediate phase of the allergic reaction (Also known as the early phase). The biological and clinical consequences that occur within the first hour of crosslinking of IgE-FceRI (high-affinity receptor for IgE) complexes at the surface of mast cells and/or basophils by allergens. The clinical manifestations are characterized by tissuespecific effects: for example, constriction of the large airways in asthma, and whealand-flare reactions in the skin. Generalized symptoms in multiple target organs can include oedema and pruritus (itching). Systemic manifestations can include angio-oedema, urticaria and, in severe cases, vascular collapse (anaphylaxis).

beginning of the last century13 and has been shown to be a robust and clinically effective allergen-specific form of treatment that induces active immunity to the allergen (FIG. 2). SIT is disease modifying, rather than palliative, and has a duration of action that exceeds the treatment period<sup>14,15</sup>. It has been shown to prevent the onset of new sensitizations to different allergens16 and to reduce the development of asthma in patients with allergic rhinitis caused by inhaled allergens17. SIT improves the quality of life of the treated individuals, through the reduction of symptoms and medication usage18. More specifically, it has been shown to reduce seasonal increases in specific IgE19 and in the nonspecific airway hyper-reactivity that occurs in individuals with asthma18. Bronchial responses to an inhaled allergen challenge<sup>20</sup>, and late-phase responses to an allergen challenge in the skin21 or nasal mucosae22, are also reduced. Here, we review the development of SIT, the immunological mechanisms of action of SIT and the novel approaches that are underway to improve its safety and efficacy.

#### **Development of SIT**

Noon<sup>13</sup> carried out the first study of active immunization to prevent allergy to grass pollen, using subcutaneous injection of grass-pollen extract (TIMELINE). Pollens were thought to contain undefined 'toxins' that caused allergic symptoms, and it was thought that injection of small doses of pollen extract would induce antitoxins and ameliorate disease. Larger clinical trials that were carried out by Freeman and Noon<sup>23</sup> showed an improvement in symptoms that was sustained for 1 year after treatment.

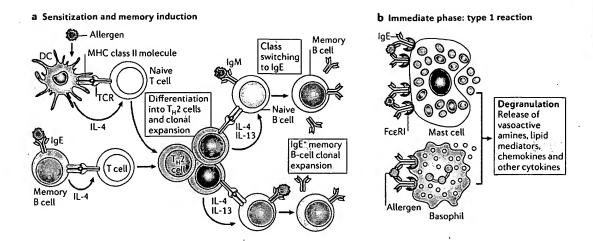
To increase the efficacy of SIT and to reduce its side-effects (including local allergic reactions, urticaria, asthma and frequent anaphylaxis), allergen extracts were adsorbed to adjuvants, mainly in an attempt to generate a slow-release depot of allergen<sup>24</sup>. In addition, attempts were made to decrease the allergenic activity of the allergen extracts, either by cleaving them to generate short peptides or by chemically modifying them (by treatment

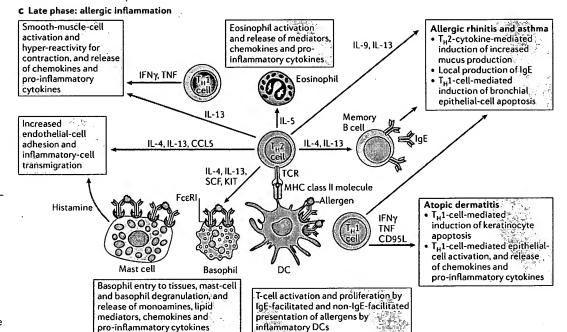
with aldehydes)<sup>25-27</sup>. In the 1980s, recombinant-DNA technology and molecular characterization of allergens provided gene and amino-acid sequences from which synthetic-peptide epitopes and recombinant allergens were derived for therapy<sup>28</sup>. During the past decade, clinical trials of SIT have been carried out using synthetic peptides that contain T-cell epitopes<sup>29</sup>, purified recombinant allergen derivatives that have been modified by genetic engineering to reduce their allergenic activity<sup>30</sup>, recombinant 'native' allergens (which retain the sequence and conformation of the natural molecule)<sup>31</sup> and purified allergens coupled to immunostimulatory synthetic oligodeoxynucleotides that contain CpG motifs<sup>32</sup>. These approaches are discussed further later.

#### **Mechanisms of SIT**

The mechanisms by which SIT mediates its antiinflammatory effects remain incompletely defined because of the use of heterogeneous allergen preparations, treatment protocols, administration routes and outcome measures in different studies. However, several common features emerge from the multiple studies that show that SIT modifies the responses of APCs, T cells and B cells (FIG. 2), as well as both the number and the function of effector cells that mediate the allergic response. For example, the number of T<sub>n</sub>2 cells and eosinophils is reduced at sites of allergen challenge following SIT<sup>21,22</sup>. Furthermore, SIT reduces the seasonal increase in the number of basophils33 and eosinophils33,34 in the mucosae, as well as the number of mast cells in the skin35 and the IgE-mediated release of histamine by basophils36.

Modulation of APC function following SIT. APCs, particularly DCs, control both peripheral tolerance and immunity through the interpretation of environmental signals that are associated with antigen encounter (such as pathogen-associated molecular patterns). The tolerogenic function of DCs depends on the maturation status





reaction Clinical manifestations can be measurable (visible) two or more hours after allerger exposure but might appear much later. These

Late phase of the allergic

manifestations peak at 6-9 hours after allergen exposure and have resolved by 24-48 hours. Reactions are characterized by oedema and the infiltration of Thelper 2 cells and eosinophils. Tissue reactions are characterized by oedema, pain, warmth and erythema (redness). Reactions in the lungs are characterized by airway narrowing and mucus hypersecretion.

#### CpG motif A deoxycytosinedeoxyguanosine sequence. Such sequences are prevalent in bacterial DNA but are rare in mammalian DNA. Unmethylated CpG is endocytosed by cells of ... the innate immune system and interacts with Toll-like receptor 9, activating a signalling cascade that results in the production of pro-

inflammatory cytokines.

Figure 1 | Mechanisms of allergic reactions. a | Sensitization to allergens and development of specific B-cell and T-cell memory. Differentiation and clonal expansion of allergen-specific T helper 2 (T<sub>H</sub>2) cells leads to the production of cytokines (interleukin-4 (IL-4) and IL-13), which induce immunoglobulin class switching to IgE and clonal expansion of naive and IgE+ memory B-cell populations, IgE at the surface of allergen-specific IgE+ B cells and other IgEsensitized antigen-presenting cells facilitates antigen presentation. T-cell activation in the presence of IL-4 increases  $differentiation\ into\ T_{H}2\ cells.\ b\ |\ Type\ 1\ hypersensitivity\ reaction\ (immediate\ phase\ of\ the\ allergic\ reaction).\ Crosslinking$ of mast-cell and basophil cell-surface FceRI (high-affinity receptor for IgE)-bound IgE by allergens leads to the release of vasoactive amines (such as histamine), lipid mediators (such as prostaglandin D, platelet-activating factor, leukotriene C<sub>4</sub> (LTC<sub>4</sub>), LTD<sub>4</sub> and LTE<sub>4</sub>), chemokines (CXC-chemokine ligand 8 (CXCL8), CXCL10, CC-chemokine ligand 2 (CCL2), CCL4 and CCL5) and other cytokines (such as IL-4, IL-5 and IL-13), and to the immediate symptoms of allergic disease. c | Allergic inflammation (late phase of the allergic reaction). Following migration to sites of allergen exposure under the influence of chemokines and other cytokines, allergen-specific T cells are reactivated and clonally expand. Local IgE-facilitated antigen presentation by dendritic cells (DCs) increases T-cell activation. Local IgE production is seen in allergic rhinitis and asthma but not in allergic skin inflammation (the main example of which is atopic dermatitis). Eosinophils are one of the main inflammatory cells (constituting up to 50% of the cellular infiltrate) in the lungs of asthmatic individuals but not in the skin of those with atopic dermatitis (1-2% of the cellular infiltrate).  $T_{\mu}1$ cells, which produce interferon-y (IFNy) and tumour-necrosis factor (TNF), contribute to the activation and apoptosis of keratinocytes (in the skin), bronchial epithelial cells and pulmonary smooth-muscle cells. Activation of mast cells and basophils, which release histamine, chemokines and other cytokines, also contributes to the late-phase allergic reaction. CD95L, CD95 ligand; SCF, stem-cell factor (also known as KIT ligand); TCR, T-cell receptor.

# **REVIEWS**

Plasmacytoid DC
An immature dendritic cell
(DC) with a morphology that resembles that of a plasma cell. Plasmacytoid DCs produce type I interferons (that is, interferon-α and interferon-β) in response to viral infection.

T<sub>H</sub>O cells
Precursors of T helper 1 (T<sub>H</sub>1)
cells and T<sub>H</sub>2 cells. T<sub>H</sub>O cells
produce both interferon-γ and
interleukin-4. They have the
capacity to become T<sub>H</sub>1 cells
and/or T<sub>H</sub>2 cells.

and the activation status of the cell, in addition to the cell lineage (for example, myeloid DCs versus plasmacytoid DCs), all of which can be influenced by immunomodulatory agents such as adjuvants. DCs in the airways control the pulmonary immune response and determine tolerance and immunity to newly encountered antigens. These DCs are distributed in an interdigitating network throughout the airways, capturing allergens and migrating to the T-cell area of the mediastinal lymph nodes within 12 hours of exposure to allergen37. In the absence of pro-inflammatory signals, as is the case in SIT, airway DCs have a partially mature phenotype and express a range of co-stimulatory molecules that is intermediate between that of immature and mature DCs, resulting in tolerogenic interaction with lymph-node T cells<sup>38</sup>. Several studies support a role for DCs in the induction of T cells with a regulatory phenotype and function, particularly IL-10-secreting regulatory T cells (T regulatory 1 (T<sub>p</sub>1) cells). Such regulatory T cells might be important mediators of the beneficial action of SIT. Repeated stimulation of T cells with immature DCs results in the generation of non-proliferating T<sub>0</sub>1-like cells<sup>39</sup>. And antigen presentation by partially mature airway DCs that express IL-10 induces the formation of T<sub>i</sub>1-like cells, which inhibit

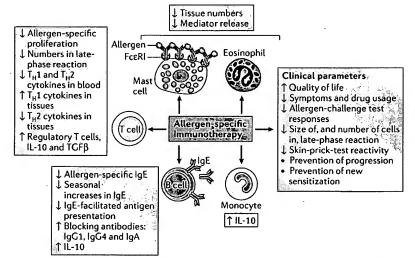


Figure 2 | Effects of allergen-specific immunotherapy on clinical and experimental immune parameters. Allergen-specific immunotherapy (SIT) improves the quality of life of treated individuals and has been shown to reduce both symptoms of allergy and medication use in controlled clinical trials. SIT is associated with improved tolerance to allergen challenge, with a decrease in immediate-phase and late-phase allergic inflammation. SIT prevents the development of new allergic sensitizations and reduces progression of allergic rhinitis to asthma. SIT is disease modifying and has a duration of effect beyond the treatment period. SIT also modifies cellular and humbral responses to allergen. The ratio of Thelper 1 ( $T_{\mu}$ 1) cytokines to  $T_{\mu}$ 2 cytokines is increased following SIT, and functional regulatory T cells are induced. The production of interleukin-10 (IL-10) by monocytes, macrophages, B cells and T cells is increased. The expression of transforming growth factor-β (TGFβ) is increased and, together with IL-10, TGFβ might contribute to regulatory T-cell function and immunoglobulin class switching to IgA, IgG1 and IgG4. These immunoglobulins compete with IgE for allergen binding, decreasing the allergen capture and presentation that is facilitated by IgE in complex with the high-affinity receptor for IgE (FceRI) or the low-affinity receptor for IgE (FceRII). In addition, SIT reduces the number of mast cells and the ability of mast cells to release mediators. The recruitment of eosinophils and neutrophils to sites of allergen exposure is also reduced.

subsequent inflammatory responses<sup>40</sup>. Experiments involving the depletion and adoptive transfer of pulmonary plasmacytoid DCs have shown that these cells have a central role in protection against sensitization to allergen and development of asthma in a mouse model<sup>41</sup>. And, finally, in clinical trials, SIT has been shown to increase the production of IL-10 by APCs, including B cells, monocytes and macrophages<sup>42,43</sup>, a phenomenon that might lead to increased generation of IL-10-secreting T<sub>p</sub>1-like cells.

Modulation of T-cell responses following SIT. CD4+ T cells from healthy individuals with no IgE response to allergen recognize the same T-cell epitopes as do CD4+ T cells from allergic individuals44-46. Therefore, differences in the quality of the response to allergens — for example, T<sub>1</sub>1-cell responses versus T<sub>1</sub>2-cell responses are likely to contribute to development of allergic diseases. Recent data indicate that active regulation might be an essential mechanism for both inducing and maintaining peripheral tolerance to allergens. Analysis of T-cell responses to food allergens and airborne allergens showed that allergens induce Tu1-, Tu2 and Tu1-cell responses<sup>47</sup>. The ratio of allergen-specific IL-10-secreting cells to IFNy-secreting cells and IL-4-secreting cells determines the development of a healthy or a pathogenic immune response: having a small number of regulatory T cells and a large number of T<sub>H</sub>2 cells results in an allergic response<sup>47</sup>. Recent data indicate that the activity of both allergen-specific IL-10-secreting T<sub>R</sub>1-like cells and CD4<sup>+</sup>CD25<sup>+</sup> regulatory T (T<sub>Reg</sub>) cells is compromised in allergic disease but can be boosted by SIT42,47-50.

SIT has been shown to modify T-cell responses to allergen in several ways, including the following: by increasing the allergen-induced ratio of T<sub>H</sub>1 cytokines to T<sub>n</sub>2 cytokines<sup>21,51,52</sup>, by inducing epitope-specific T-cell anergy that can be blocked by neutralization of IL-10 (REF. 53), by generating allergen-specific regulatory T cells that can suppress the responses of effector T cells following delivery of either whole allergen extracts or synthetic peptides that contain or consist of a T-cell epitope<sup>49,50</sup>, and by increasing the production of cytokines with regulatory activity (FIG. 3). Induction of mRNA that encodes IL-10 and increased production of IL-10 protein have been reported to occur in both the blood and the tissues following SIT<sup>42,43,49,54-58</sup>. Increased production of transforming growth factor-β (TGFβ) has also been reported following SIT and has been shown to contribute to regulatory T-cell function, because the addition of neutralizing TGF\$\beta\$-specific antibodies to T-cell cultures was found to inhibit the regulatory function of these T cells49. IL-10 has been shown to modulate the function of effector cells in allergic responses. For example, IL-10 inhibited IgEdependent activation of human mast cells<sup>59</sup> and suppressed production of IL-5 by resting human T<sub>H</sub>O cells and Tu2 cells60. Furthermore, IL-10 inhibited production of granulocyte/macrophage colony-stimulating factor and expression of CD40 by activated eosinophils, and it increased eosinophil cell death61. In addition, specific induction of T<sub>H</sub>1-cell responses, rather than Skin-prick test
If allergen-specific IgE is
present in an individual,
then the introduction of tiny
amounts of allergen into the
epidermis by a prick or scratch
induces the degranulation
of mast cells. This results in
a wheal-and-flare reaction,
the size of which is used as
a measure of the sensitivity
to allergen of an individual.

regulatory responses, has also been achieved using  $T_{\rm H}1$ -cell-inducing adjuvants, such as microbial components that stimulate APCs through Toll-like receptor (TLR) activation<sup>32,62,63</sup>.

Humoral and cellular features that are similar to those induced by SIT can also result from naturally occurring immunomodulation. For example, many bee-keepers, despite being frequently stung and having a positive skin-prick test and IgE specific for bee venom, do not develop allergic symptoms when stung64. Protection seems to be mediated through the induction of bee-venom-specific IgG following bee stings. Longterm exposure to bee stings drives the IgG response from a mainly IgG1 response to an IgG4 response65. Studies of the immune response of cohorts of bee-keepers have indicated that natural systemic exposure to large doses of the protein components of venom resembles SIT with venom, because both SIT and natural exposure lead to modulation of peripheral T-cell responses through the generation of allergen-specific IL-10-secreting T cells and the increased

Allerger FceRl Mast cell Endothelial-cell Basophil Eosinophil activation and Suppressed effector cells cellular influx IL-10 IL-3, IL-4, IL-9 T<sub>H</sub>0 or **TGF** $\beta$ T<sub>H</sub>1 cell Regulatory T cell 11-10 TNF IL-4 CD95L TGF Mucus Epithelial-cell production activation and apoptosis Regulated B cell Regulated DC Suppression of APCs and pro-inflammatory Production of IgG4 and IgA Suppression of IgE production capacity of DCs Induction of regulatory-T-cell-inducing DCs

Figure 3 | Proposed role of regulatory T cells and cytokines in allergen-specific immunotherapy. Regulatory T cells and regulatory cytokines — such as interleukin-10 (lL-10) and transforming growth factor- $\beta$  (TGF $\beta$ ) — might contribute to the control of allergen-induced immune responses in five main ways: suppression of antigen-presenting cells (APCs) that support the generation of T helper 2 (T $_{\rm H}$ 2) cells and T $_{\rm H}$ 1 cells (a); direct suppression of  $T_{\rm H}$ 2 cells and  $T_{\rm H}$ 1 cells (b); suppression of allergen-specific lgE production, and induction of allergen-specific lgG4 and/or lgA production (c); and suppression of mast cells, basophils and eosinophils (d). In addition, indirect inhibition of  $T_{\rm H}$ 2-cell-associated phenomena (such as mucus production, and endothelial-cell activation and cellular influx) and  $T_{\rm H}$ 1-cell-associated phenomena (such as epithelial-cell activation and apoptosis) is observed (e). CD95L, CD95 ligand; DC, dendritic cell; FceRI, high-affinity receptor for lgE; IFN $\gamma$ , interferon- $\gamma$ , TNF, tumour-necrosis factor.

synthesis of IL-10 by monocytes and B cells<sup>42</sup>. Similar induction of IL-10 production and protective allergenspecific IgG4 production has been observed in children who have been exposed to large concentrations of animal dander<sup>66</sup>.

Modulation of antibody responses following SIT. In a series of elegant experiments, Cooke et al.67 showed that serum from patients treated using SIT contained allergen-specific factors that prevented immediate allergic skin inflammation. These factors were identified to be allergen-specific IgG molecules that competed with IgE for the binding of allergen and were therefore termed blocking antibodies<sup>68,69</sup>. However, the relationship between the efficacy of SIT and the induction of allergen-specific IgG remains a controversial issue, with serum concentrations of allergen-specific IgG correlating with clinical improvement in some studies but not in others<sup>70-72</sup>. But functional activity, rather than the absolute amount of antibody, might be a more appropriate measure and seems to correlate more closely with clinical parameters<sup>43</sup>. Moreover, antibody responses that are induced during SIT are functionally heterogeneous, perhaps accounting for the conflicting data with respect to the protective effects of IgG73,74. Allergen-specific IgG might be directed against the same epitopes as allergen-specific IgE, resulting in direct competition for allergen binding and a 'blocking' effect. By contrast, induction of IgG specific for other epitopes might result in a failure of the IgG response to compete with IgE, even when IgG is present in molar excess. Furthermore, some IgG specificities might amplify the crosslinking of allergen-IgE-FcERI complexes, leading to increased effector-cell function<sup>74</sup>.

Nevertheless, IgG that is induced by SIT or by natural allergen exposure has been shown to have numerous effects on cells that are involved in allergic responses and to reduce allergic inflammation. For example, SIT-induced IgG has been shown to reduce the IgEmediated degranulation of mast cells and basophils in an allergen-specific manner, leading to a reduction in allergic inflammation63,73,75. IgG that is induced by treatment with hypoallergenic recombinant allergens has been found in respiratory secretions and has been shown to reduce acute respiratory symptoms of allergic disease76. Allergen-specific IgG that is induced following seasonal exposure to allergens has been associated with attenuation of the increase in serum IgE30,63,77. Other mechanisms of action of SIT-induced IgG include inhibition of IgE-facilitated allergen presentation to T cells, possibly decreasing late-phase reactions to allergen78, and reduction in the number of allergen-specific memory B cells that have undergone class switching to IgE (through preventing the activation signals that are required for affinity maturation, memory induction and differentiation)79.

Analysis of the IgG isotypes that are induced by SIT has shown that specific increases in IgG1 and, in particular, in IgG4 occur, with the concentrations increasing 10–100-fold; much smaller responses are seen for IgG2 (REFS 30,31,76). Consistent with these findings, removal of IgG4 activity from the sera of individuals who had

received SIT resulted in an almost complete loss of the inhibition of binding of allergen-IgE complexes to FCERII at the surface of B-cell lines (an assay that is used to measure the blocking activity of IgG)43. This dominant role for IgG4 might result from the unique structural features of the hinge region of IgG4; these features result in a lower affinity than other isotypes for certain Fcy receptors (FcyRs; which bind IgG) and in the ability to separate and re-pair (leading to bispecific antibodies that are functionally monomeric; reviewed in REF. 80). Furthermore, IgG4 does not fix complement and can inhibit immune-complex formation by other isotypes, giving IgG4 anti-inflammatory characteristics. Indeed, the regulatory cytokine IL-10 is implicated in the differential regulation of IgE and IgG4 production following IL-4- and IL-13-dependent class switching 42,81. Although not directly a class-switching factor for IgG4 (REF. 82), IL-10 increases the production of IgG4 while preventing IL-4-mediated class switching to IgE81. By contrast, IL-10 has been shown to induce direct switching of immunoglobulin classes expressed by naive B cells to IgG1 (REF. 82), possibly accounting for the considerable quantities of IgG1 that have been reported following SIT. Interestingly, IFNy has been shown to suppress the production of IgG1 and to increase the production of IgG2 (REF. 83). The dominance of IgG1 over IgG2 after SIT indicates that the action of IFNy might be tempered by other cytokines in the milieu such as IL-4 and IL-10.

In addition to IgG, recent studies have also provided evidence for increases in the amount of  $TGF\beta$ -driven allergen-specific IgA following SIT, indicating that other antibody classes might contribute to clinical efficacy <sup>49</sup>.

#### **Current developments in SIT**

Historically, variability in safety and clinical efficacy has limited the widespread application of SIT. Many strategies have been adopted in an attempt to standardize practice, including better characterization of the active ingredients through measurement of protein content, determination of the biological activity, estimation of the main allergens that make up the preparation, and the production of pure allergen molecules with the aid of recombinant-DNA technology<sup>84</sup>.

Recombinant allergens and allergen derivatives. For most important allergens, cDNAs have now been isolated and expressed as recombinant proteins (reviewed in REFS 85–87). Defined molecules have been used to establish diagnostic tests for determining and monitoring the molecular reactivity profiles of patients to allergens and for developing improved forms of treatment<sup>84</sup>. For example, reactivity profiles of individuals have been defined by using recombinant-allergen microarrays, and these profiles allow SIT to be tailored to individual needs<sup>85</sup>. Recombinant allergens have also been used successfully for diagnostic *in vivo* provocation testing, in which allergens are administered to the skin, conjunctiva and nasal mucosa (reviewed in REF. 86).

At present, several treatment strategies that involve recombinant allergens or engineered allergen derivatives are being pursued in preclinical studies and clinical

trials. The use of recombinant 'native' allergens (which retain the sequence and conformation of the natural molecule)31 has advantages over the use of natural allergen extracts, because recombinant 'native' allergens contain defined amounts of the active ingredient and can be formulated in a standardized manner. However, the use of recombinant 'native' allergens retains the risk of adverse allergic events as a consequence of reactivity with IgE. Furthermore, certain allergens are poorly immunogenic and induce only small amounts of protective IgG. To address these issues of immunogenicity and allergenicity, recombinant allergens have been modified in vitro to create hypoallergenic molecules that have reduced reactivity with IgE, in common with some naturally occurring polymorphisms<sup>88-94</sup>. Introduction of multiple mutations into cysteine residues in the latex allergen Hev b 6.01 resulted in mutants that showed less binding, or no binding, to IgE in the sera of individuals who are allergic to latex95. Evaluation of the allergenicity of a genetically engineered, hypoallergenic mutant of the apple protein Mal d 1 showed reduced release of histamine by basophils, decreased responses to skin-prick tests and reduced allergenicity, in a double-blinded, placebo-controlled food-challenge study%. Recombinantallergen oligomers (such as genetically engineered recombinant proteins that are expressed as dimers and trimers and have reduced reactivity with IgE) have been produced by expressing several copies of the cDNA that encodes one particular allergen, or the cDNA that encodes several allergens and/or allergen epitopes, in one expression vector<sup>30,97-99</sup>. When used for therapy, a recombinant trimer of the main birch-pollen allergen, Bet v 1, had less reactivity with IgE in skin-prick tests and was more immunogenic (induced more IgG production) than placebo, inducing a Tu1-cell-biased immune response in vitro and in vivo30,100. Fragments of recombinant Bet v 1 polypeptide were similarly hypoallergenic but were less immunogenic than the recombinant trimer when administered therapeutically30.

Recombinant hybrid allergen proteins have also been created for use as combination vaccines 101,102. These molecules consist of the most important epitopes or polypeptides of one or more allergens, but some of these molecules lack the IgE reactivity of the native molecules 101,102. Hybrid allergen proteins contain a range of T-cell epitopes that is similar to complex allergens but in a single molecule, with the immunogenicity of the components being increased as a result of their presence in one molecule, in contrast to separate molecules. A hybrid allergen protein consisting of three major bee-venom allergens in one molecule was shown to be markedly hypoallergenic in human skin-prick tests and could also prevent allergic sensitization to bee venom in a mouse model<sup>101</sup>. Hybrid allergen proteins generated by the fusion of allergens with human FcyRI have also been reported to inhibit allergeninduced degranulation of basophils and mast cells, by co-crosslinking of FceRI and FcyRs and by activation of inhibitory intracellular-signalling pathways. This is a novel approach to negatively regulate mast-cell and basophil degranulation in an allergen-specific manner. Furthermore, co-ligation of allergen-specific membrane

# Hinge region The sequence

The sequence of amino acids, which is often rich in cysteine and proline residues, that is present in the constant region of immunoglobulin heavy chains. It provides increased molecular flexibility. This region might be involved in the disulphide bonds that link adjacent immunoglobulin heavy chains.

Allergen microarray
Similar to a DNA microarray.
High-density arrays of
individual allergen proteins or
extracts spotted onto a solid
phase (usually glass). The
arrays can be incubated with
small volumes of serum from
patients, and the binding of
IgE to the arrayed allergens
can be determined and this
information used for diagnostic
purposes.

immunoglobulin with  $Fc\gamma Rs$  might also downregulate B-cell responses to allergen<sup>103</sup>. Until further trials have been carried out (particularly of hypoallergenic isoforms and mutants), it is unclear which of these approaches will be the safest and the most efficacious.

T-cell-epitope-containing peptide approaches. Peptides that consist of the amino-acid sequences of allergen T-cell epitopes retain the ability to immunomodulate T cells, but these short linear molecules have less ability to crosslink IgE and activate effector cells than do the corresponding intact allergens. Synthetic-peptide-based vaccines derived from the major cat allergen, Fel d 1, and from bee-venom phospholipase A, (Api m 1) have been developed and clinically evaluated 29,58,104-107. Early studies of peptide-based SIT for individuals who are allergic to cat allergens showed moderate clinical efficacy but also showed frequent adverse events that might have been associated, in some cases, with residual tertiary structure in the peptides leading to crosslinking of IgE. Other symptoms were probably the result of transient activation of effector T cells in the target organ, resulting in airway narrowing108. More recent studies that used mixtures containing more peptides, which consisted of shorter sequences, showed the following outcomes: downregulation of systemic T<sub>H</sub>1- and T<sub>H</sub>2-cell responses to allergen, together with concomitant induction of IL-10 production 58,106; induction of regulatory T cells50; reduction of immediate- and late-phase allergic skin reactions following allergen challenge 106,109; and reduction in latephase asthmatic responses to inhaled allergen challenge, and improved nasal symptoms after nasal provocation 110. Peptide-based SIT for individuals who are allergic to bee venom was associated with protection against cutaneous allergen challenge and partial protection against live bee-sting challenge105. Evidence was also provided that allergen-specific IgG is produced 58,105,107. The use of short peptides and small (<50 µg) doses might be optimal for both safety and efficacy, although further clinical trials, testing larger groups, are needed.

B-cell-epitope-containing peptide approaches. Lowmolecular-weight fractions of allergen extracts and drug-derived haptens have been used in SIT<sup>26,58,111</sup>. More recently, hapten-like peptides have been identified within major allergens, and it has been proposed that these molecules could be used for SIT112. Synthetic peptides with the potential to induce the production of blocking IgG have been defined from IgE-binding epitope-mapping data113 and from analysis of the three-dimensional structure of an allergen114. Vaccination of animals with such peptides induced allergen-specific IgG that was able to block patient-derived IgE from binding to allergens in vitro and to prevent allergen-induced degranulation of basophils115. Phage-display technology has been used to isolate random peptides that are recognized by allergenspecific IgE, and subsequent molecular modelling showed that these peptides correspond to IgE-binding epitopes on the allergen surface116,117. Such epitope mimics (known as mimotopes) could partially inhibit the high-affinity interaction between allergen and specific IgE116.

Conjugated molecules, adjuvants and modes of application. Targeting TLRs with TLR ligands linked to allergens has been proposed as a strategy for modulating immune responses to allergens, with the aim of inducing a shift in the balance of the T<sub>H</sub>1- and T<sub>H</sub>2-cell responses. Synthetic DNA sequences that contain CpG motifs have been covalently linked to Amb a 1, the major ragweed allergen, to generate immunostimulatory allergen conjugates32,118. In addition to interaction with TLR9, covalent linkage of CpG-containing DNA sequences reduced the allergenicity of Amb a 1 in skin-prick tests, probably through steric hindrance of the access of IgE to the allergen. Treatment of individuals who are allergic to ragweed with the CpG-containing-DNA-allergen complexes resulted in marked increases in allergen-specific Tu1-cell responses in the peripheral blood, and these responses were characterized by increased production of IFNy, CXC-chemokine ligand 9 (CXCL9) and CXCL10, and decreased production of IL-5, CC-chemokine ligand 17 (CCL17) and CCL22 (REFS 32,118).

Monophosphoryl lipid A, a bacterial cell-wall component that binds TLR4, has been evaluated as an adjuvant for use in SIT. Clinical trials showed improved symptom and medication scores; together with reduced seasonal increases in IgE and an increase in IgG1 and IgG4 that resulted in blocking of allergen-induced degranulation of basophils<sup>62</sup>.

Other adjuvants, such as aluminium hydroxide, are in use in SIT. Paradoxically, aluminium hydroxide is also widely used to increase allergic sensitization in mouse models of allergic disease, so its use in the treatment of allergic disease in humans seems counter-intuitive. However, recent studies have indicated that this adjuvant downregulates human T<sub>H</sub>2-cell responses to allergens in vitro119. Carbohydrate-based particles (CBPs) could provide an alternative to aluminium hydroxide and have the advantage of a defined coupling chemistry. CBP-coupled grass-pollen allergen induced robust IgG responses in an experimental model of allergic sensitization, without inducing tissue destruction or systemic release of allergens. Instead, a local immune response was generated at the site of administration, thereby rendering systemic anaphylactic reactions less likely<sup>120</sup>. However, CBPs have yet to be evaluated in human trials of SIT.

Several other approaches are also under investigation. Lectins have been used to target allergen directly to α-L-fucose moieties on microfold (M) cells, which are present in mouse intestines, and this strategy results in sustained release of allergen into the draining lymphatic vessels and in an increase in allergen-specific IgG2a121. A recombinant fusion protein consisting of Bet v 1 and a bacterial cell-surface protein has been shown to induce Tul-cell responses in mice and in in vitro-cultured human cells122. Lipopeptides derived from lipoproteins of Gram-negative bacteria activate TLR2, and they promote production of the T<sub>H</sub>1 cytokines IFN y and IL-10 (but not T<sub>1</sub>2 cytokines) by human T cells in response to the bee-venom allergen Api m 1 (REF. 123). Transgenic rice that expresses allergen-derived T-cell epitopes has also been evaluated in a mouse model for its potential as an edible vaccine, and it was found to induce oral

Phage-display technology A technology for displaying a protein on the surface of a bacteriophage that contains the gene(s) encoding the displayed protein(s), thereby physically linking the genotype and phenotype.

Microfold cells
(M cells). Specialized epithelial
cells that deliver antigens from
the gut lumen directly to
intraepithelial lymphocytes
and to subepithelial lymphoid
tissues, using transepithelial
vesicular transport.

tolerance<sup>124</sup>. Finally, mice that are allergic to peanuts have been treated by rectal administration of heat-killed *Escherichia coli* that expresses peanut allergens. This treatment resulted in an increase in the amount of  $T_{\rm H}1$  cytokines and a decrease in the amount of  $T_{\rm H}2$  cytokines, together with a reduction in the amount of allergen-specific IgE and in allergic symptoms following subsequent challenge with peanut allergens<sup>125</sup>.

At present, owing to the limited number of clinical trials of adjuvanted preparations and conjugated molecules, the benefit of these approaches over the current methods of SIT is not clear. Clinical trials of these approaches (which are at preclinical stages, at present) are required before objective evaluations can be made.

DNA vaccination. As an alternative to the administration of allergens or allergen derivatives, vaccination with allergen-encoding DNA has been proposed as a strategy for SIT126,127. In addition to injection of plasmid DNA, the oral delivery of genes, using chitosan-DNA nanoparticles, has been described<sup>128</sup>. Vaccination with allergen-encoding DNA seemed to induce an allergenspecific T<sub>H</sub>1-cell response and, when administered prophylactically in mouse models, prevented allergic sensitization. However, systemic production of allergen by transfected cells has the potential to cause anaphylaxis129. One possibility to reduce allergenicity would be to use DNA that encodes hypoallergenic allergen derivatives. Finally, the targeting of DNA vaccination directly to DCs, and the use of replicon-based DNA vaccines that induce allergen-specific T<sub>H</sub>1-cell responses when delivered at low doses, is being evaluated in mice130.

#### Sublingual versus subcutaneous delivery for SIT

Recently, interest has focused on the delivery of allergen orally, particularly by sublingual administration. The first reports of mucosal SIT were made more than 60 years ago 131,132, and in the past 25 years, numerous clinical trials have been carried out to study sublingual SIT (reviewed in REF. 133). A meta-analysis of the double-blinded, placebo-controlled trials that have been carried out in the past decade has shown that sublingual SIT is clinically efficacious, although the treatment benefit is about half that achieved with subcutaneous SIT at present134. In common with SIT administered by subcutaneous injection, sublingual administration of allergen has been shown to reduce the development of asthma in children with allergic rhinitis. The immunological mechanisms of sublingual SIT seem to be similar to those of subcutaneous SIT, although the magnitude of the change in most parameters is more moderate. Moreover, a considerable number of studies have failed to detect systemic immunological changes. This might be a consequence of the different doses of allergen that were administered in different studies or of the development of more localized immunological changes. The limited mechanistic data relating to sublingual SIT have recently been reviewed in depth<sup>135</sup>. Most studies using sublingual SIT have reported increased amounts of serum IgG4 (REF. 134), with some evidence of increased amounts of IgA136. However, decreases in the ratio of IgE to IgG4

following SIT have not been consistently observed137. The correlation of immunological parameters with clinical parameters has been observed in one study138 but not in another<sup>139</sup>. Reports of the effect of sublingual SIT on T-cell responses are also mixed. Reduced proliferation of peripheral-blood T cells in response to allergen was reported in one study140, although no changes in the cytokine-secretion patterns were observed for T-cell clones derived before and after SIT140. However, other studies have failed to detect a change in T-cell parameters 137,138. Sublingual SIT also reduces the number and the size of inflammatory-cell infiltrates in allergen-challenged tissues141. Following sublingual SIT and allergen challenge, the amount of serum eosinophil cationic protein (also known as RNase3) was reduced, together with the number of eosinophils and neutrophils in the conjunctiva and the expression of intercellular adhesion molecule 1 (ICAM1; a marker of allergic inflammation) by epithelial cells141. Similar changes in eosinophils, neutrophils and ICAM1 expression were observed in the nasal mucosa following nasal challenge142.

Although sublingual SIT is clearly efficacious and is associated with a favourable safety profile, efficacy is lower than that of subcutaneous SIT. The better safety profile of sublingual SIT might be associated with specific anatomical features of the oral mucosa, such as the presence of fewer mast cells, although it might equally be attributable to the delivery of smaller immunologically active doses of allergen (despite the larger dose that is administered). So future studies that use larger doses of allergen might achieve equivalent clinical efficacy but at the expense of the favourable safety profile.

#### **Future directions**

The immunological mechanisms of SIT are becoming increasingly well defined. The modulation of APC, T-cell and B-cell responses to allergen has been described in numerous studies. Successful SIT is associated with several features, including increases in allergen-specific serum antibodies (particularly IgG1 and IgG4 and, to a lesser extent, IgA). The proliferative responses of T cells to allergens are reduced, and cytokine-secretion profiles are modified, resulting in an increased ratio of T,1-cell responses to T<sub>H</sub>2-cell responses and in the induction of functional regulatory T cells. Regulatory T-cell function and changes in serum-antibody profiles seem to be associated with expression of IL-10 and TGFB. In the future, therapeutic strategies will attempt to harness such mechanisms to optimize clinical efficacy. For example, adjuvants that favour the induction of regulatory cytokines might be identified. Or pharmacotherapy could be combined with SIT to induce optimal clonal expansion of regulatory T cells143.

With most of the important allergens now identified and with their T-cell and B-cell epitopes characterized, the use of defined allergen molecules and allergen derivatives will clarify the heterogeneous data relating to mechanisms and clinical efficacy that were obtained in earlier studies using crude allergen extracts. Hypoallergenic allergens and allergen derivatives that have reduced interaction with IgE might improve the

Chitosan–DNA nanoparticle A small (150–300 nm) particle that is formed by mixing plasmid DNA (in this case, encoding allergen) with the high-molecular-weight molecule chitosan, a biodegradable polysaccharide derived from crustaceans. Chitosan has been used extensively for drug delivery and increases molecular transport across the mucosal epithelial-cell barrier.

Replicon-based DNA vaccine

A vaccine that is based on DNA molecules that can replicate autonomously (for example, plasmids and phage).

safety of SIT. However, loss of IgE binding might reduce the uptake of allergen by tolerogenic DCs that present FceRI-bound IgE. Furthermore, in the absence of epitopes that are recognized by IgE, the induction of competitive antibody classes of the same specificity might be less efficient.

Optimization of the sublingual approach for SIT will result in improved clinical efficacy, but this might come at the price of reduced safety. Further developments in adjuvant technology and improvements in our understanding of how to optimize the routes and schedules of administration will also help to improve

the safety, efficacy and delivery of SIT. In the future, SIT will take advantage of molecularly defined diagnosis, such as using allergen microarrays, to address the needs of individual patients and their particular clinical manifestations. Finally, in light of the increasing prevalence of allergic diseases, population studies might identify susceptible individuals, together with the most relevant allergen molecules from which to formulate prophylactic strategies based on vaccination and/or tolerance induction. Ultimately, prophylaxis might be used to prevent allergic diseases, similar to the current strategy of vaccination against infectious diseases.

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#### Competing interests statement

The authors declare competing financial interests: see web version for details.

#### DATABASES

The following terms in this article are linked online to: Entrez Gene: http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?db=gene FcERI | IFNy | IL-4 | IL-5 | IL-9 | IL-10 | IL-13 | TGFB

#### **FURTHER INFORMATION**

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